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Fax Number: (703) 872-9319 and (703) 872-9306 Telephone No.: \_\_\_\_\_  
From: Jan Little-Washington  
Date: October 25, 2004 Time: \_\_\_\_\_  
Operator: Adrian Villarreal Matter: 42P10601  
Number of pages including cover sheet: 14  
In Re Patent Application of: Nikonov et al.  
Application No.: 09/982,227  
Filed: October 17, 2001  
For: AN INTEGRATED OPTICAL CIRCUIT HAVING AN INTEGRATED ARRAYED  
WAVEGUIDE GRATING (AWG) AND OPTICAL AMPLIFIER(S)  
Enclosed are the following documents: \_\_\_\_\_  
Transmittal Docs. - in duplicate (4 pages)  
Amendment After Final (9 pages)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
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Attorney's Docket No.: 42P10601 Patent  
 In re the Application of: Nikonov et al.  
 (inventor(s))  
 Application No.: 09/982,227  
 Filed: October 17, 2001  
 For: AN INTEGRATED OPTICAL CIRCUIT HAVING AN INTEGRATED ARRAYED WAVEGUIDE  
GRATING (AWG) AND OPTICAL AMPLIFIER(S)  
 (title)

AMENDMENT UNDER  
 37 C.F.R. § 1.116  
 EXPEDITED PROCEDURE  
 EXAMINING GROUP 2800

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 COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

SIR: Transmitted herewith is an Amendment After Final Action for the above-referenced application.

Applicant claims small entity status. See 37 CFR 1.27.

XX No additional fee is required.

A Notice of Appeal is enclosed.

The fee has been calculated as shown below:

This fee has been calculated as shown below.

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total Claims	* 15	Minus	** 30	0	X9	\$	X18	\$ 0
Indep. Claims	* 2	Minus	*** 7	0	X44	\$	X88	\$ 0
<div></div>	First Presentation of Multiple Dependent Claim(s)				+150	\$	+300	\$
					Total Add. Fee	\$	Total Add. Fee	\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

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\_\_\_\_\_ A check in the amount of \$ \_\_\_\_\_ is attached for presentation of additional claim(s).  
\_\_\_\_\_ Applicant(s) hereby Petition(s) for an Extension of Time of \_\_\_\_\_ month(s) pursuant to  
\_\_\_\_\_ 37 C.F.R. § 1.136(a).  
\_\_\_\_\_ A check for \$ \_\_\_\_\_ is attached for processing fees under 37 C.F.R. § 1.17.  
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extra claims.  
\_\_\_\_\_ X Any extension or petition fees under 37 C.F.R. § 1.17.

Date:

10/25/2004

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Attorney's Docket No.: 42P10601

Patent

In re the Application of: Nikonov et al.

(Inventor(s))

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37 C.F.R. § 1.116  
EXPEDITED PROCEDUREApplication No.: 09/982,227EXAMINING GROUP 2800Filed: October 17, 2001For: AN INTEGRATED OPTICAL CIRCUIT HAVING AN INTEGRATED ARRAYED WAVEGUIDEGRATING (AWG) AND OPTICAL AMPLIFIER(S)

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	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 15	Minus	** 30	0
Indep. Claims	* 2	Minus	*** 7	0
First Presentation of Multiple Dependent Claim(s)				

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SMALL ENTITY	
Rate	Additional Fee
X9	\$
X44	\$
+150	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X18	\$ 0
X88	\$ 0
+300	\$
Total Add. Fee	\$ 0

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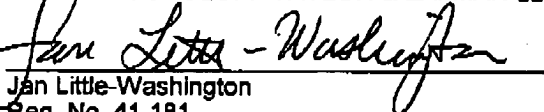
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